



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William E. KLUNK et al.

Title: BENZOTHAZOLE DERIVATIVE
COMPOUNDS, COMPOSITIONS
AND USES

Appl. No.: Unassigned

Filing Date: August 22, 2003

UTILITY PATENT APPLICATION
TRANSMITTAL

Mail Stop PATENT APPLICATION
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

William E. KLUNK
Chester A. MATHIS, Jr.
Yanming WANG

☒ Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- ☒ Specification, Claim(s), and Abstract (38 pages).
- ☒ Formal drawings (4 sheets, Figures 1, 2, 3 & 4).
- ☐ Assignment of the invention to UNIVERSITY OF PITTSBURGH.
- ☒ Small Entity statement.
- ☒ Application Data Sheet (37 CFR 1.76).

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$750.00	\$750.00
Total Claims:	27	- 20	= 7	x \$18.00	= \$126.00
Independents:	1	- 3	= 0	x \$84.00	= \$0.00
If any Multiple Dependent Claim(s) present:				+ \$280.00	= \$0.00
Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration and late payment of filing fee				+ \$130.00	= \$130.00
				SUBTOTAL:	= \$1006.00
[] Small Entity Fees Apply (subtract 1/2 of above):					= \$503.00
				TOTAL FILING FEE:	= \$503.00

- [] A check in the amount of \$0.00 to cover the filing fee is enclosed.
- [X] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- [] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 22 August 2003

By Stephen A. Bent

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ASSERTION OF SMALL ENTITY STATUS

Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.27 (c)(1), Applicants hereby assert entitlement to small entity status in the above-identified application.

Respectfully submitted,

Date 22 August 2003

By S. A. Bent

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